# Text Categorization with Semantic Commonsense Knowledge: First Results

Pawel Majewski, Julian Szymański

Gdańsk University of Technology, Narutowicza 11/12, 80-952 Gdańsk, Poland, {pawel.majewski, julian.szymanski}@eti.pg.gda.pl

**Abstract.** Most of text categorization research exploit bag-of-words text representation. However, such representation makes it very hard to capture semantic similarity between text documents that share very little or even no vocabulary. In this paper we present preliminary results obtained with a novel approach that combines well established kernel text classifiers with external contextual commonsense knowledge. We propose a method for computing semantic similarity between words as a result of diffusion process in ConceptNet semantic space. Evaluation on a Reuters dataset show an improvement in precision of classification.

# 1 Introduction

Text is the primary medium of representing and distributing information, while categorization is one of the basic methods of organizing textual data. Research on this topic have been dominated with machine learning approach and a predominant number of recent papers focus on kernel methods [1]. In most of works, text was represented with a Vector Space Model (a.k.a. bag-of-words), and similarity between two pieces of text computed as a function of words shared by the two. This assumption, however, makes it very hard to capture any semantic relation between text documents that share very little or even no vocabulary. This issue was addressed with both statistical and algebraic tools [2]. On the other hand, there is a long history of works, getting back to 1960s [3], that incorporate hierarchical semantic networks as sources of external knowledge. More recent works of this group concentrate on WordNet [4] as a primal source of information on relations between words (e.g. [5][6]). In this paper, however, we exploit common-sense knowledge base ConceptNet [7] which has some attractive properties that might be useful in some text categorization problems. Additionally, we propose a method for computing semantic similarity between words that is backed by the common-sense relations graph. The similarity is expressed as a result of diffusion process. Proposed kernel function is later evaluated in a text categorization problem.

This paper is organized as follows. In the next section we briefly describe linear kernels for text classification problems. The third section discusses semantic proximity of words. Fourth section describes ConceptNet and points out the semantic graph's structure properties that had significant influence on design of the diffusion function presented in the following section. In fifth section we describe in details our diffusion algorithm. Results of experimental evaluation are presented in sixth section. Finally, we conclude and give an outlook for future works in the last section.

## 2 Linear Kernels for Text

Simple linear kernels perform very well in text categorization problems [1]. They implement an IR-developed Vector Space Model (VSM) which embeds a piece of text d into a space where each dimension corresponds to one of N words in dictionary  $\mathcal{D}$ . With this mapping  $\phi(d)$ , order in which words appear in text is neglected. Similarity between two pieces of text,  $d_1, d_2 \in Docs$ , is computed as a dot product of their embeddings,

$$\kappa(d_1, d_2) = \langle \phi(d_1), \phi(d_2) \rangle = \boldsymbol{d}_1 \boldsymbol{d}_2^T.$$

The more words documents have in common, the more similar they are.

Due to simplistic assumption, however, linear kernels are not able to capture any semantic similarity between text documents that share very little, or even no, vocabulary. This problem can be addressed by incorporating additional relations between words [8] [9]. *Semantic linear kernels* [10] implement this extension, and introduce additional information on words similarity. They are defined as,

$$\kappa_s(d_1, d_2) = \langle \phi_s(d_1), \phi_s(d_2) \rangle = \phi(d_1) \boldsymbol{S} \boldsymbol{S}^T \phi(d_2)^T.$$
(1)

where S is a semantic matrix. The S matrix could be any  $N \times k$  matrix with all positive entries that captures semantic similarity between words. If k is equal to N, the semantic matrix can be regarded as a word-to-word similarity matrix. Indeed, the  $s_{ij}$  entry would express semantic similarity between *i*-th and *j*-th words in a dictionary. When k is smaller then N, this leads to some dimensionality reduction step, so that subsequent computations are performed in this k-dimensional, reduced space.

## **3** Semantic Proximity of Words

Proximity is usually defined as an inverse of a distance function,  $\Delta : \mathcal{D} \times \mathcal{D} \to \mathbb{R}$ (e.g. [6]). Two objects are given a large proximity value if they are in a close distance. If they are the same, proximity is equal to 1. With help of a distance function, proximity is given as

$$p(u,v) = \begin{cases} inv(\Delta(u,v)+1) \iff \Delta(u,v) \neq \infty, \\ 0 \iff \Delta(u,v) = \infty, \end{cases}$$
(2)

where  $inv : [1, \infty) \to [0, 1]$  is some monotonically decreasing function, e.g. 1/x or exp(-x). The definition above could be generalized to functions expressing desired relations between words without explicit use of a distance. Since, the

semantic matrix S in (1) could be any positive matrix, the only requirement for the proximity function  $p : \mathcal{D} \times \mathcal{D} \rightarrow [0, 1]$  is to express intuitive relatedness of word meanings with a positive value.

To construct proximity matrix as in (1) we need

- source of information on relations between words,
- method for computing proximity and relevance of words.

In most approaches the training data itself is used to extract relations between words, with common co-occurrence being the most frequent indicator of their relatedness. On the other hand there are relatively few works that involve external sources of information on relations between words (e.g. [5], [6]). Depending on the representation of external knowledge various methods of semantic proximity computation are adopted. For structured representations, usually graphs, diffusion methods can be applied [2] [11].

In this paper we investigate application of external data and a novel method of proximity computation. In two subsequent sections we discuss in details the source of relations on words proximity and proposed diffusion algorithm.

# 4 ConceptNet as a Source of Information on Relations between Words

First, we should decide what properties a good source of information on words relations should possess? There are some conflicting requirements to be met. Since we are analyzing general text classification problem the ideal knowledge base should include vocabulary covering wide scope of topics. Moreover, it should also incorporate tricky relations clear to humans but hard to discover in an automatic way. At the same time, the source should be specific enough to include sophisticated vocabulary that turns out to make the most discriminative features in categorization problems. In fact, there are only very few publicly available sources of structured information that could be used for this purpose.

The best known and used in predominant number of works is WordNet [4]. This hand-crafted lexical database system consist of well structured information organized within part of speech groups. WordNet's recent version lacks, however, relations between these groups. For instance, it does not provide obvious information that a "dog" "barks", but comes with a detailed mammals taxonomy. For context aware processing such information are of a little value, though. Additionally WordNet requires an disambiguation step to map analyzed words to senses.

We argue that for classification problem, information on contextual rather than structural relations is of greater need. ConceptNet [7], made publicly available recently, is a semantic network designed for commonsense contextual reasoning. It was automatically built from a collection of 700,000 sentences, a corpus being a result of collaboration of some 14,000 people. It provides commonsense contextual associations not offered by any other knowledge base. However, automation of its construction as well as distributed collaboration attribute to errors and imprecision of the relations, comparing to fine and clean WordNet. Nevertheless, ConceptNet brings a lot of useful common-sense knowledge. For instance, it lacks any information on mammals taxonomy that WordNet provides, but comes with an obvious hint that "dog" is a "pet".

ConceptNet is organized as a massive directed and labelled graph. It is made of about 300,000 vertexes and about 1.5 million edges, corresponding to words or phrases, and relations between them, respectively. Most vertexes represent common actions or chores given as phrases, e.g. "drive a car" or "buy food". There are approx. 260,000 vertexes of this type. The remaining nodes correspond to single words (including stopwords). There are also six types of relations (or edges) between vertexes. The largest connected component encompasses almost the whole ConceptNet graph. Its structure is also a bit bushy, with a group of highly connected nodes, and "person" being the most connected, having indegree of about 30,000 and outdegree of over 50,000. There are over 86,000 leaf nodes and approximately 25,000 root nodes (nodes that have no incoming edges). An average degree of a node is 4.69.

#### 5 Evaluation of Semantic Proximity in Semantic Graph

Having the structure of ConceptNet in mind, we propose an alternative proximity function defined on graph vertexes. General idea of the algorithm is also inline with classic psycholinguistic theory of spreading activation [12] in semantic memory. We have chosen the following objectives for its construction:

- proximity decreases with number of visited nodes,
- vertexes connected directly or through some niche links are in a short distance, hence they are proximate,
- connections going through highly connected nodes increase ambiguity, therefore proximity should be inversely proportional to number of nodes that could be visited within given number of steps,
- computational complexity should be low.

An algorithm constructed according to these rules is presented in the following.

**Outline of the Algorithm** Here we propose an algorithm that computes proximity of words basing on a graph structure. It should be noted that this procedure is not symmetric, i.e.  $p(t_1, t_2)$  does not necessarily have to be equal to  $p(t_2, t_1)$ , depending on structure of a semantic graph.

Our procedure is based on an assumption that the proximity is proportional to amount of some virtual substance that reaches the destination node v as a result of injection to node u, followed by diffusion through graph edges. The diffusion process is governed according to the following simple rules,

1. at every node the stream splits into smaller flows proportional to number of edges going out from the node,



Fig. 1. A fragment of ConceptNet graph; rounded nodes correspond to single words and rectangular nodes represent phrases, all types of relations were collapsed into a single one.

- 2. at every junction (node), a fraction  $\rho$  of the substance sinks in and does not go any further,
- 3. if some edge points to a node that has been visited before, we assume that the node is saturated and can not take in any more substance.

The process continues until all reachable nodes "get wet".

**Description** After this somewhat informal introduction, we will discuss the algorithm in details and give relationale for particular design choices that justify our approach. We will use ConceptNet's fragment given in Fig. 1 as an illustration. As an example we compute proximity of the **programmer** node to the rest of the nodes. In the kick off step, the **programmer** has been injected with 2,000 units of some fluid.

The first rule of the diffusion process assures that proximity of a node to its direct neighbors is proportional to number of neighbors. Consequently, highly connected nodes are given some penalty. On the other hand, if there are very few outlinks, they are considered much more informative. The **programmer** (see Fig. 1) has five adjacent nodes, therefore each of them is flooded with one fifth of the amount of the substance available to **programmer**. The purpose of this rule is to diminish proximity of nodes reachable through some highly connected nodes. For instance, ConceptNet's "person" is connected to about 50,000 other nodes. Clearly, any association going through this node can not be considered informative or unique. This rule assures that any connection going through the "person" node will yield very low proximity to the source.

The second rule of the diffusion process was introduced to decrease proximity with number of intermediate nodes required to reach the destination node. Since with every junction risk of drifting off the topic increases, this rule requires paths connecting proximate nodes to be as short as possible. In our example, at every intermediate node half of the substance is retained ( $\rho$  is equal to 0.5), and only the other half diffuses further through edges. If this rule was omitted **programmer**  would be equally related to **program** and **code**. While in our example this turns out to be quite accurate, such relation does not hold in general.

The purpose of the third rule is to assure monotonicy of the function with increasing length of a path connecting some nodes. By saturating a node we make sure that its proximity always takes fixed value that is smaller than the amount of substance injected to the source node. This rule also assures that the algorithm terminates after a finite number of steps. If this rule were to be suspended, every node reachable with more than one walk would be boosted with every such connection. On the other hand, the saturation rule seems to be in line with the way humans associate words — our first guesses are usually the most accurate. In Fig. 1 edges pointing to saturated nodes are dashed. They are not taken into account when a degree of a node is computed. For instance, computer has four outgoing edges. However, since an edge pointing back to programmer is neglected, the effective degree of computer is decreased to three.

There is one more point to be discussed about the algorithm. We have not decided yet on the order in which nodes and edges are visited during diffusion process. We propose to process a graph in order of node's proximity values. This process imitates a tide surging in a pipe system — the strongest wave goes furthest. To implement this flow we pick nodes in order of their proximity — proximate vertexes go first. If some nodes are equally proximate, then the degree decides and nodes having lower number of outgoing edges are given a privilege. However, if there is more than one node of the same degree, a conflict arises. We show how to resolve it in the next paragraph.

**Conflicts Resolution** As we have said the vertexes are picked in order of their proximity to the source node. A problem arises when there is more than one equally proximate vertex. In fact this is the situation that always occurs immediately after the initial step of the algorithm, because the kick-off injection is always split equally between nodes adjacent to the source. We have chosen degree of a node as the second criterion — the lower the better. However, what to do when this is not conclusive and there is still more than one possibility?

To solve this problem we propose to process all conflicting nodes in a single step. As a 'single step' we understand that nodes adjacent to the conflicting vertexes are not saturated until the last of them is processed. The order in which nodes are processed might change proximity results only if it affects effective degrees of nodes. It will not happen if the saturation is postponed. There are two cases to be analyzed — when conflicting vertexes share no adjacent nodes and the other situation when there are some shared adjacent nodes.

Clearly, if there are no shared adjacent nodes the effective degree of any of conflicting nodes will not be affected by any other. Therefore, all nodes adjacent to S yield equal proximity of  $\frac{p'\varrho}{d}$ .

In the other case, there are some nodes that share adjacent nodes. Now by applying the normal processing routine that involves immediate saturation to some node, we would decrease the effective degree of some of the remaining nodes. Although, if saturation of edges is postponed until the last of the conflicting nodes is processed, effective degree of any node will not be changed. Hence, the order in which the nodes are picked does not matter and all adjacent nodes are given equal proximity value of  $\frac{p'\varrho}{d}$ .

A way in which node are processed resemble Dijkstra SP-algorithm. The main difference are changed criteria used for picking a next node. Moreover, the purpose is quite opposite — the algorithm is used not to compute SP-distances but to assign values to nodes.

**Running Time** Running time of a single diffusion operation using a standard binary heap as a backing data structure is O((|V| + |E|)log|V|), where |V| and |E| are numbers of vertexes and edges of ConceptNet graph, respectively. Since, to construct complete proximity matrix we require results of diffusion operations for all words in the graph, total running time rises to O(W(|V| + |E|)log|V|), where W is number of words. However, since word nodes make a small fraction of of the whole ConceptNet graph and most of nodes correspond to phrases, W << |V|.

## 6 Experiments

Here we want to investigate how does the algorithm presented above perform in practice and how does it compare to established text classifiers. In our preliminary experimental works we intended to answer the following questions:

- (Q1) Do ConceptNet and the diffusion algorithm described above improve classification performance?
- (Q2) Are there any cases where they can decrease performance? Why?
- (Q3) What is the influence of diffusion parameter  $\rho$ ?

In order to answer the questions we conducted a series of experiments using a standard benchmark for text classification problems: the Reuters-21578 "ModApte split". We experimented only on ten most frequent categories, i.e. acquisition, corn, crude, earn, grain, interest, money-fx, ship, trade and wheat.

#### 6.1 Preprocessing

ConceptNet as it comes is a bit noisy. For propose of our experiments we cleaned it a little. At first, all redundant entries were removed. Subsequently, all entries containing digits and self-loops were deleted. Afterwords we extracted the largest connected component of the graph and removed other nodes. Remaining nodes were then indexed in a dictionary and divided into three groups — words, phrases and junk words. By a phrase we considered a string with white spaces. There were 260,954 nodes of this type. Words were stemmed with a Lovins stemmer [13] and ones sharing a common stem were collapsed down into a single node. As junk words we considered stopwords found on Weka [14] stoplist and words with stems shorter than four letters. There were 1,638 such words. The junk words were retained to keep connectivity of the graph. After the filtering procedure there were 20,227 words left that we consider features.

Documents of the Reuters corpus were indexed using two separated dictionaries. The first dictionary was built in a usual way from all words that occurred in a training set. The other dictionary was constructed from ConceptNet's feature words. We also removed terms that occurred in a training less than three times. Documents indexed with these dictionares made two experimental data sets, **D** and **D**<sub>CN</sub>, respectively. Vectors were then normalized with  $L_1$ -norm.

#### 6.2 Comparative Evaluation

In order to answer questions Q1 and Q2 we experimentally compared SVM classifiers built on diffusion proximity kernels and standard linear kernels. Experiments were conducted on  $\mathbf{D}_{CN}$  and  $\mathbf{D}$  datasets, while the latter was used as a baseline. In all experiments SVMs' C parameter was set to 1 and diffusion parameter  $\rho$  to 0.5. Results obtained with a 10-fold cross-validation are given in Table 1.

Class	Precision			Recall			$F_1$		
	$\mathrm{L}\text{-}\mathbf{D}$	$\mathrm{L}\text{-}\mathbf{D}_{CN}$	$\mathrm{DD}\text{-}\mathbf{D}_{CN}$	$\mathrm{L}\text{-}\mathbf{D}$	$L\text{-}\mathbf{D}_{CN}$	$\mathrm{DD}\text{-}\mathbf{D}_{CN}$	$\mathrm{L}\text{-}\mathbf{D}$	$\mathrm{L}\text{-}\mathbf{D}_{CN}$	$DD$ - $D_{CN}$
acq	0.954	0.903	0.943	0.946	0.905	0.740	0.950	0.904	0.829
corn	0.097	0.089	-	0.099	0.110	-	0.098	0.098	-
crude	0.848	0.841	-	0.776	0.630	-	0.810	0.720	-
earn	0.981	0.959	0.984	0.974	0.935	0.819	0.978	0.947	0.894
grain	0.303	0.315	0.366	0.127	0.150	0.025	0.179	0.204	0.047
interest	0.678	0.649	0.944	0.553	0.400	0.049	0.609	0.495	0.094
money-fx	0.707	0.672	0.719	0.745	0.687	0.143	0.725	0.679	0.239
$_{\rm ship}$	0.650	0.689	-	0.484	0.461	-	0.555	0.553	-
trade	0.854	0.810	1.000	0.826	0.724	0.057	0.840	0.764	0.107
wheat	0.086	0.033	-	0.103	0.004	-	0.094	0.008	-

**Table 1.** Results of experimental evaluation. Columns: L-D — linear kernel and D dataset; L-D<sub>CN</sub> — linear kernel and D<sub>CN</sub> dataset; DD-D<sub>CN</sub> — diffusion kernel and D<sub>CN</sub> dataset;

The proposed kernels improved precision while decreasing recall of the classifiers. For 5 out of 10 classes precision increased even over results obtained with a full vectors (L-D column). However, for 4 classes (marked with a "-") our method could not recognize any positive sample, and simply gradated into a majority voter. Moreover, the increase in precision came at a price of lower recall for classes that were learned correctly. Relatively high recall was reported only for acq and earn classes. We suspect that introduction of relations between words brought in with ConceptNet allowed for disambiguation of words, and as a result improved precision of categorization. However, they also reduced the influence of individual words that were allowed to play a discriminative role in linear kernels. Low recall may also be due to a weak fitting between the ConceptNet's and Reuters' vocabulary. The latter uses rather formal and precise style, while the former is organized around informal activities. We expect our algorithm to work much better in more casual text categorization tasks which are planned in near future.

#### 6.3 Diffusion Parameter $\rho$

For  $\rho = 1$  the diffusion kernel coincides with a standard linear kernel and the diffusion process is not performed at all. At the other extreme, when  $\rho = 0$ , the distribution of the substance depends only on the structure of the graph. To examine influence of the parameter  $\rho$  on classification performance we conducted a series of experiments in a whole domain of the parameter,  $\rho \in [0, 1]$ , with a 0.05 step. Results for selected classes obtained with 10-fold cross-validation for C set to 1 are presented in Fig. 2. The plots are rather flat with very small differences



Fig. 2. Precision (left) and recall (right) of classifier in function of  $\rho$ ; Classes: acq (solid line), earn (dashed line), interest (dash-dotted line), money (dotted line)

in a whole range of the parameter with larger fluctuations at the extremes. This indicates that the influence of the diffusion parameter  $\rho$  is limited and the proximity of words comes mostly from the structure of ConceptNet graph. Therefore, for practical usage  $\rho$  could be set any value within its domain and does not introduce much additional burden to a learning task.

# 7 Conclusions

In this paper we presented preliminary results obtained with a method that combined kernel text classifiers with contextual common-sense knowledge brought by ConceptNet. Experimental evaluation have shown that contextual relations contained within ConceptNet allowed for increased precision. This came, however, at a price of lower recall. This might be due to limitations of the semantic space. In future, we plan to address this problem by extanding semantic space by combining ConceptNet, WordNet and Microsoft Mindnet [15] into a single graph.

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